

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

	Application Number	10/627,345
	Filing Date	July 25, 2003
	First Named Inventor	Borden, George
	Group Art Unit	2655
	Examiner Name	
	Attorney Docket	KLR:7146.0153

Total Number of Pages in this Submission	Attorney Docket Number	KL	R:7146.0153				
ENCLOSURES (check all that apply)							
☐ Fee transmittal ☐ Fee attached form	☐ Assignment Papers (for an application)		After Allowance Communication to Group				
☐ Amendment ☐ After Final/ Response	☐ Drawing(s)		☐Appeal Communication to Board of Appeals and Interferences				
☐ Affidavits/Declaration(s)	☐ Licensing Related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
☐ Extension of Time Request	Petition Routing Slip (PTO/SB and Accompanying Petition	/69)	☐ Proprietary Information				
☐ Express Abandonment Request	Petition to Convert to a Provis Application	ional	☐ Status Letter				
☑ Information Disclosure Statement	Power of Attorney, Revocation Change of Correspondence Address		Additional Enclosures (identify below)				
Certified copy of Priority Documents			Form 1449 with listed prior art; a duplicate copy of this Transmittal Letter; and an acknowledgment				
Response to Missing Parts/ Incomplete Application			Postcard,				
☐ Response to Missing Parts	☐ Request for Refund						
Under 37 CFR 1.52 or 1.53	Remarks: Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.						
SIGNA	TURE OF APPLICANT, ATTORNE	Y OR	AGENT				
Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP						
Signature							
Date	October 16, 2003						
	CERTIFICATE OF MAILING						
I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450. With sufficient postage as first class mail as "Express Mail Post Office to Addressee" - mailing label no.							
Type or print name Kevin L. Russe	/						
Signature		Date	October 16, 2003				

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☑ Information Disclos	sure Statement	☐ Power of Attorney, Revocation Change of Correspondence Address	-	Additional Enclosures (identify below)		
☐ Certified copy of P Documents	riority	☐ Terminal Disclaimer		Form 1449 with listed prior art; a duplicate copy of this Transmittal		
Response to Missing Parts/ Incomplete Application		☐ Small Entity Statement		Letter; and an acknowledgment Postcard,		
☐ Response to Missing Parts		☐ Request for Refund				
Under 37 CFR	1.52 or 1.53	Remarks: Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.				
	SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Firm or Individual Name Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & ST				, Mc CLUNG & STENZEL, LLP		
Signature						
Date		October 16, 2003				
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CERTIFICATE OF MAILING						
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as "Express Mail Post Office to Addressee" - mailing label no.						
Type or print name	Kevin L. Russell					
Signature	-	7	Date	October 16, 2003		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION EXAMINING OPERATIONS

Applicant : Borden, George

Group Art Unit:

2655

Serial No.

: 10/627,345

Examiner

Filed

July 25, 2003

Title

: AURAL USER INTERFACE

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower 601 S.W. Second Avenue Portland, Oregon 97204-3157 October 16, 2003

Mail Stop Patent Applications (IDS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith Form PTO-1449 (Modified) listing the prior art references of which applicant is aware and which applicant desires to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure

Statement will not be regarded as an admission that any patent or publication or combination of

patents referred to herein is, or is considered to be, material to patentability under 37 CFR

§1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith are set forth on the

attached three pages of Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of

the information supplied by the inventor and the information in his file.

Respectfully submitted,

Kevin L. Russell

Reg. No. 38,292

Attorney for Applicant

Tel: (503) 227-5631

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, P. O. Box 1450, Alexandria, VA., on October 16, 2003.

Dated

Kevin I. Russell

Q:\Bonnie Dillon\KLR\SHARP\IDS for 7146.0153.wpd; October 16, 2003 (4:52PM)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR	ATTY. DOCKET NO. KLR 7146.0153	SERIAL NO. 10/627,345			
APPLICANT'S INFORMATION DISCLOSURY STATEMENT OCT 2 0 2003	APPLICANT Borden, George				
(Use several sheets if necessary)	FILING DATE July 25, 2003	GROUP 2655			
REFERENCE DESIGNATION					

U.S. PATENT DOCUMENTS EXAMINER FILING DATE IF INITIAL DOCUMENT NUMBER DATE NAME CLASS SUBCLASS APPROPRIATE AA 5,801,692 Sept. 1, 1998 Muzio, et. al. April 20, 1999 AB 5,896,129 Murphy, et. al. AC 6,219,644 B1 April 17, 2001 VanBuskirk

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
				_		YES	NO
BD							
BE							

OTHER ART

СА	Resnick, Paul and Virzi, Robert A., Relief from the Audio Interface Blues: Expanding the Spectrum of Menu, List and Form Styles, 38 pages.
СВ	Huguenard, Brian, et al., Working-Memory Failure in Phone-Based Interaction, ACM Transactions on Computer-Human Interaction, Vol. 4, No. 2, June 19997, pp. 67-102.
СС	Brewster, Stephen A., Using Non-Speech Sounds to Provide Navigation Cues, pp. 1-22.
CD	Brewster, Wright and Edwards, An Evaluation of Earcons for Use in Auditory Human-Computer Interfaces, consisting of 6 pages.
CE	Shneiderman, Ben, Direct Manipulation for Comprehensible, Predictable and Controllable User Interfaces, copyright owned by author who is associated with the University of Maryland, pp. 33-39.
CF	Gaudiano, Paolo and Klaus Kater, Alife-WebGuide: An Intelligent User Interface for Web Sit Navigation, Copyright ACM 2000, pp. 21-24.
CG	Gaver. William W., 61 Regent Street, Cambridge, England, The SonicFinder, An Interface That Uses Auditory Icons, pp.1-23.

Examiner	Date	
Signature	 Considered	

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.